

**DEPARTMENT OF DEFENSE  
CONTRACT SECURITY CLASSIFICATION SPECIFICATION**

*(The requirements of the National Industrial Security Program (NISP) apply to all security aspects of this effort involving classified information.)*

OMB No. 0704-0567  
OMB approval expires:  
October 31, 2020

The public reporting burden for this collection of information, 0704-0567, is estimated to average 70 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Department of Defense, Washington Headquarters Services, at whs.mc-alex.esd.mbx.dd-dod-information-collections@mail.mil. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number.

**RETURN COMPLETED FORM AS DIRECTED IN THE INSTRUCTIONS.**

**1. CLEARANCE AND SAFEGUARDING**

**a. LEVEL OF FACILITY SECURITY CLEARANCE (FCL) REQUIRED**  
(See Instructions)

Secret

**b. LEVEL OF SAFEGUARDING FOR CLASSIFIED INFORMATION/  
MATERIAL REQUIRED AT CONTRACTOR FACILITY**

Secret

**2. THIS SPECIFICATION IS FOR: (X and complete as applicable.)**



**a. PRIME CONTRACT NUMBER (See instructions.)**

N66001-18-C-0365



**b. SUBCONTRACT NUMBER**



**c. SOLICITATION OR OTHER NUMBER DUE DATE (YYYYMMDD)**

**3. THIS SPECIFICATION IS: (X and complete as applicable.)**



**a. ORIGINAL (Complete date in all cases.)**

DATE (YYYYMMDD)

20180920



**b. REVISED (Supersedes all previous specifications.)**

REVISION NO.

DATE (YYYYMMDD)



**c. FINAL (Complete Item 5 in all cases.)**

DATE (YYYYMMDD)

**4. IS THIS A FOLLOW-ON CONTRACT?** ☒ No ☐ Yes If yes, complete the following:

Classified material received or generated under \_\_\_\_\_ (Preceding Contract Number) is transferred to this follow-on contract.

**5. IS THIS A FINAL DD FORM 254?** ☒ No ☐ Yes If yes, complete the following:

In response to the contractor's request dated \_\_\_\_\_, retention of the classified material is authorized for the period of: \_\_\_\_\_

**6. CONTRACTOR (Include Commercial and Government Entity (CAGE) Code)**

**a. NAME, ADDRESS, AND ZIP CODE**

Redhorse Corporation  
1370 India Street  
Suite 200  
San Diego, CA 92101

**b. CAGE CODE**

4WS86

**c. COGNIZANT SECURITY OFFICE(S) (CSO)**

(Name, Address, ZIP Code, Telephone required; Email Address optional)

Defense Security Service (DSS)  
San Diego Field Office (IOFWD)  
11770 Bernardo Plaza Ct.  
Ste. 450  
San Diego, CA 92128

**7. SUBCONTRACTOR(S) (Click button if you choose to add or list the subcontractors  
-- but will still require a separate DD Form 254 issued by a prime contractor to each subcontractor)**

**a. NAME, ADDRESS, AND ZIP CODE**

**b. CAGE CODE**

**c. COGNIZANT SECURITY OFFICE(S) (CSO)**

(Name, Address, ZIP Code, Telephone required; Email Address optional)

**8. ACTUAL PERFORMANCE (Click button to add more locations.)**

**a. LOCATION(S) (For actual performance, see instructions.)**

Redhorse Corporation  
1370 India Street  
Suite 200  
San Diego, CA 92101

**b. CAGE CODE**

(If applicable,  
see instructions.)

4WS86

**c. COGNIZANT SECURITY OFFICE(S) (CSO)**

(Name, Address, ZIP Code, Telephone required; Email Address optional)

Defense Security Service (DSS)  
San Diego Field Office (IOFWD)  
11770 Bernardo Plaza Ct.  
Ste. 450  
San Diego, CA 92128

**a. LOCATION(S) (For actual performance, see instructions.)**

SSC Pacific, San Diego, CA

**b. CAGE CODE**

(If applicable,  
see instructions.)

**c. COGNIZANT SECURITY OFFICE(S) (CSO)**

(Name, Address, ZIP Code, Telephone required; Email Address optional)

**9. GENERAL UNCLASSIFIED DESCRIPTION OF THIS PROCUREMENT**

This research and development effort will explore the application of natural language processing techniques, technologies, and methodologies to support the management of S&T investment of portfolios in order to improve Department of Defense (DOD) warfighting capabilities. This effort will consider commercial "as a service" technologies such as IBM Watson and may also consider open source technologies or emerging approaches as appropriate. This effort will illustrate the value, cost and feasibility of implementing such an approach at the enterprise level.

**10. CONTRACTOR WILL REQUIRE ACCESS TO:** (X all that apply. Provide details in Blocks 13 or 14 as set forth in the instructions.)

- |  |   |
|--|---|
| <input type="checkbox"/> a. COMMUNICATIONS SECURITY (COMSEC) INFORMATION   | <input type="checkbox"/> f. SPECIAL ACCESS PROGRAM (SAP) INFORMATION                                    |
| <input type="checkbox"/> b. RESTRICTED DATA  | <input type="checkbox"/> g. NORTH ATLANTIC TREATY ORGANIZATION (NATO) INFORMATION                       |
| <input type="checkbox"/> c. CRITICAL NUCLEAR WEAPON DESIGN INFORMATION (CNWDI)<br>(If CNWDI applies, RESTRICTED DATA must also be marked.) | <input type="checkbox"/> h. FOREIGN GOVERNMENT INFORMATION  |
| <input type="checkbox"/> d. FORMERLY RESTRICTED DATA   | <input type="checkbox"/> i. ALTERNATIVE COMPENSATORY CONTROL MEASURES (ACCM) INFORMATION                |
| <input type="checkbox"/> e. NATIONAL INTELLIGENCE INFORMATION:   | <input checked="" type="checkbox"/> j. CONTROLLED UNCLASSIFIED INFORMATION (CUI)<br>(See instructions.) |
| <input type="checkbox"/> (1) Sensitive Compartmented Information (SCI)   | <input type="checkbox"/> k. OTHER (Specify) (See instructions.)   |
| <input type="checkbox"/> (2) Non-SCI   |   |

**11. IN PERFORMING THIS CONTRACT, THE CONTRACTOR WILL:** (X all that apply. See instructions. Provide details in Blocks 13 or 14 as set forth in the instructions.)

- |   |   |
|---|---|
| <input type="checkbox"/> a. HAVE ACCESS TO CLASSIFIED INFORMATION ONLY AT ANOTHER CONTRACTOR'S FACILITY OR A GOVERNMENT ACTIVITY<br>(Applicable only if there is no access or storage required at contractor facility. See instructions.) | <input type="checkbox"/> g. BE AUTHORIZED TO USE THE SERVICES OF DEFENSE TECHNICAL INFORMATION CENTER (DTIC) OR OTHER SECONDARY DISTRIBUTION CENTER   |
| <input type="checkbox"/> b. RECEIVE AND STORE CLASSIFIED DOCUMENTS ONLY   | <input type="checkbox"/> h. REQUIRE A COMSEC ACCOUNT  |
| <input checked="" type="checkbox"/> c. RECEIVE, STORE, AND GENERATE CLASSIFIED INFORMATION OR MATERIAL  | <input checked="" type="checkbox"/> j. HAVE OPERATIONS SECURITY (OPSEC) REQUIREMENTS  |
| <input type="checkbox"/> d. FABRICATE, MODIFY, OR STORE CLASSIFIED HARDWARE   | <input type="checkbox"/> k. BE AUTHORIZED TO USE DEFENSE COURIER SERVICE  |
| <input type="checkbox"/> e. PERFORM SERVICES ONLY   | <input checked="" type="checkbox"/> l. RECEIVE, STORE, OR GENERATE CONTROLLED UNCLASSIFIED INFORMATION (CUI).<br>(DoD Components: refer to DoDM 5200.01, Volume 4 only for specific CUI protection requirements. Non-DoD Components: see instructions.) |
| <input type="checkbox"/> f. HAVE ACCESS TO U.S. CLASSIFIED INFORMATION OUTSIDE THE U.S., PUERTO RICO, U.S. POSSESSIONS AND TRUST TERRITORIES  | <input checked="" type="checkbox"/> m. OTHER (Specify) (See instructions.)<br>See Specific-On-site for reporting and training requirements, etc.  |

**12. PUBLIC RELEASE**

Any information (classified or unclassified) pertaining to this contract shall not be released for public dissemination except as provided by the National Industrial Security Program Operating Manual (NISPO) or unless it has been approved for public release by appropriate U.S. Government authority. Proposed public releases shall be submitted for review and approval prior to release to the appropriate government approval authority identified here with at least office and phone contact information and if available, an e-mail address. (See instructions)

☐ DIRECT ☒ THROUGH (Specify below)  
Space and Naval Warfare Systems Center Pacific (SSC Pacific),  
Code 85000, 53500 Hull Street, San Diego, CA 92152-5001

Public Release Authority:

**13. SECURITY GUIDANCE**

The security classification guidance for classified information needed for this effort is identified below. If any difficulty is encountered in applying this guidance or if any other contributing factor indicates a need for changes in this guidance, the contractor is authorized and encouraged to provide recommended changes; to challenge the guidance or the classification assigned to any information or material furnished or generated under this contract; and to submit any questions for interpretation of this guidance to the official identified below. Pending final decision, the information involved shall be handled and protected at the highest level of classification assigned or recommended.

(Fill in as appropriate for the classified effort. Attach, or forward under separate correspondence, any documents/guides/extracts referenced herein. The field will expand as text is added. When removing any expanded text area, use delete key or backspace key, then click out of the text field for it to shrink after the text has been deleted. Also allows for up to 6 internal reviewers to digitally sign. See instructions for additional guidance or use of the fillable PDF.)

Contract Number: N66001-18-C-0365

Security Classification Guide (SCG): COR to provide the SCG upon contract award. ID#10-024.1 Naval War Gaming CNO (N73).

All classified guides to be reviewed at government site until contractor has been cleared through DSS to safeguard classified material at its contractor's facility. GCA will issue a sponsorship letter once the contract has been awarded.

Direct all questions to the Contracting Officer's Representative (COR) (b)(6) Code 53624, (619) 553- 0851,  
email: (b)(6) @navy.mil.

The Contracting Specialist (CS) Veronica Beck, Code 22530, (619) 553-4532 , email: veronica.beck@navy.mil.

-Prime contractor's are required to send copies of all subcontract DD Form 254s to obtain flow-down approvals as required to the distribution listed in block 17: SSC Pacific Codes 53624 (COR), 22530 (CS) see above and 83310 - Security - w\_spac\_ssc\_pac\_securitycor\_us@navy.mil external address only.

#### Access Requirements:

Contractor Cage code: 4WS86 is not cleared to receive or work on classified material at its contractor's facility. Once the contract is award the GCA will issue a sponsorship letter to DSS requesting the contractor to be granted secret safeguarding at its contractor's facility.

10.j/11.1 Contractors receiving, transmitting or accessing controlled unclassified technical information (CUI) on or through its contractor information system(s) must safeguard the information to avoid compromise, including but not limited to disclosure of information to unauthorized persons, unauthorized modification, destruction, or loss of an object, or the copying of information to unauthorized media, as required per DFARS Subpart 204.73 and Clauses 204.7304 and 252.204-7012. Contractors shall report to the DOD each Cyber incident that affects unclassified controlled technical information resident on or transiting contractor information systems in accordance with DFARS clause 204.7304 and 252.204-7012. Detailed reporting criteria and requirements are set forth in the clause at 252.204-7012, safeguarding of unclassified controlled technical information. For information on handling CUI see DOD M-5200.01, Volume 4.

11.c Any classified information generated in performance of this contract shall be marked according to Executive Order 13526 dtd 29 December 2009. Your Defense Security Service (DSS) Industrial Security Representative (IS Rep) should be contacted for assistance. Approximately 2 cuft of GSA approved storage is needed on this contract to safeguard up to the Secret level.

11.j Contractors are required to take Operation Security training. Additional OPSEC information is attached.

11.m See Specific-On-site for reporting, security, and training requirements such as contractors performing on classified contracts are required to attend Counterintelligence (CI) training annually IAW DoDD 5240.06 (Counterintelligence Awareness and Reporting (CIAR)).

The SSC Pacific-COR will specify which positions require a clearance.

No further entries on this page.

List of Attachments [1] (All Files Must be Attached Prior to Signing, i.e., for any digital signature on the form)

IATTACH IT-IT FOR UNCLASSIFIED POT CONTRACTORS-ONSITE-FOUO-OPSEC 20180912.pdf

#### NAME & TITLE OF REVIEWING OFFICIAL

#### SIGNATURE

Contracting Officer's Representative (COR)

(b)(6)  
COR, Code 53624

#### 14. ADDITIONAL SECURITY REQUIREMENTS

Requirements, in addition to NISPOM requirements for classified information, are established for this contract.

☐ No ☒ Yes

*If Yes, identify the pertinent contractual clauses in the contract document itself, or provide an appropriate statement which identifies the additional requirements. Provide a copy of the requirements to the CSO. The field will expand as text is added or you can also use item 13. When removing any expanded text area, use delete key or backspace key, then click out of the text field for it to shrink after the text has been deleted. (See instructions for additional guidance or use of the fillable PDF.)*

Information Technology (IT) Systems Personnel Security Program Requirements are attached and must be passed to subcontractors. Information Technology (IT) Systems Personnel Security Program Requirements for Unclassified/Position of Trust (POT) Contractors and Must be Passed Down to Subcontractors.

Specific On-Site Security Requirements are Attached. This document contains reporting and training requirements. For authorized visits to other U.S. Government activities, the contractor must comply with all On-site Security requirements of the Host Command.

For Official Use Only (FOUO) guidance attached.

Operations Security (OPSEC) requirements attached and must be passed to all Subcontractors.

#### 15. INSPECTIONS

Elements of this contract are outside the inspection responsibility of the CSO.

☒ No ☐ Yes

If Yes, explain and identify specific areas and government activity responsible for inspections. The field will expand as text is added or you can also use item 13. When removing any expanded text area, use delete key or backspace key, then click out of the text field for it to shrink after the text has been deleted. (See instructions for additional guidance or use of the fillable PDF.)

#### 16. GOVERNMENT CONTRACTING ACTIVITY (GCA) AND POINT OF CONTACT (POC)

##### a. GCA NAME

SSC Pacific

##### c. ADDRESS (Include ZIP Code)

Commanding Officer  
SSC Pacific  
Code 22530  
53560 Hull Street  
San Diego, CA 92152-5001

##### d. POC NAME

Veronica Beck

##### e. POC TELEPHONE (Include Area Code)

+1 (619) 553-4532

##### b. ACTIVITY ADDRESS CODE (AAC) OF THE CONTRACTING OFFICE (See Instructions)

N66001

##### f. EMAIL ADDRESS (See Instructions)

veronica.beck@navy.mil

#### 17. CERTIFICATION AND SIGNATURES

Security requirements stated herein are complete and adequate for safeguarding the classified information to be released or generated under this classified effort. All questions shall be referred to the official named below. Upon digitally signing Item 17h, no changes can be made as the form will be locked.

##### a. TYPED NAME OF CERTIFYING OFFICIAL (Last, First, Middle Initial) (See Instructions)

(b)(6)

##### d. AAC OF THE CONTRACTING OFFICE (See Instructions)

N66001

##### h. SIGNATURE

(b)(6)

##### b. TITLE

Security's COR

##### e. CAGE CODE OF THE PRIME CONTRACTOR (See Instructions.)

##### c. ADDRESS (Include ZIP Code)

Commanding Officer  
SSC Pacific  
Code 83310, 53560 Hull Street, CA 92152-5001

##### f. TELEPHONE (Include Area Code)

+1 (619) 553-3005

##### i. DATE SIGNED (See Instructions)

20180920

##### g. EMAIL ADDRESS (See Instructions)

(b)(6)@navy.mil

#### 18. REQUIRED DISTRIBUTION BY THE CERTIFYING OFFICIAL

☒ a. CONTRACTOR

☐ b. SUBCONTRACTOR

☒ c. COGNIZANT SECURITY OFFICE FOR PRIME AND SUBCONTRACTOR

☐ d. U.S. ACTIVITY RESPONSIBLE FOR OVERSEAS SECURITY ADMINISTRATION

☒ e. ADMINISTRATIVE CONTRACTING OFFICER

☒ f. OTHER AS NECESSARY (If more room is needed, continue in Item 13 or on additional page if necessary.)

COR, email: (b)(6)@navy.mil

CS, email: veronica.beck@navy.mil

Security, email: w\_spsc\_ssc\_pac\_securitycor\_us@navy.mil